MODERNIZED ARISTOTLE'S CAUSATION VIEW' FOR CAUSE EFFECT ANALYSIS

Hongyul Yoon

TRIZ Center / hongyul@trizcenter.co.kr

Naum Feygenson

TRIZ-InCon Company / feyg@bk.ru

Background

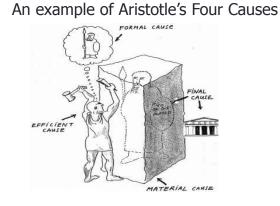
 Most of technical problems have undesired phenomena which must be prevented to solve the problems. The fact makes Cause Effect Analysis be one of the most important and popular tools in technical problem solving methodologies.



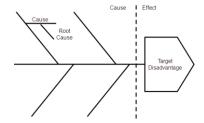
Typical cases of undesired phenomena of engineering systems

Background

- From the ancient era up to today, people tried to suggest a guide of how to think effectively about the causes like 'Aristotle's Four Causes' and 'Fishbone Method' for more efficient Cause Effect Analysis.
- Likewise, in TRIZ field, we have many strong ways for Cause Effect Analysis
- Current guides don't provide the way to formulate the models based on 'Scientific and Engineering Principles', neither offer they generic categories.

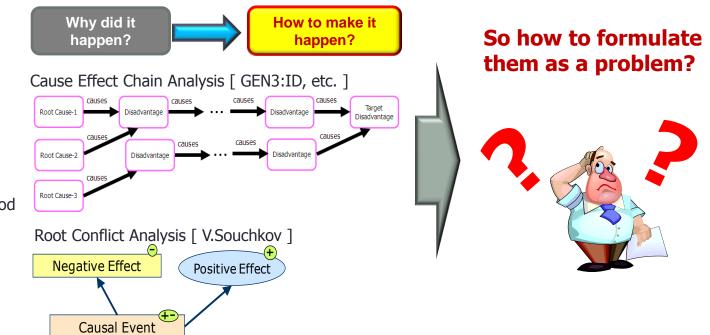


A schematic diagram of Fishbone method



Subversion Analysis

[Anticipatory Failure Determination of B.Zlotin, etc.]



Weakness of Current Ways in TRIZ Field

1. Requirement for a generic categorical guide

Current guides don't provide the way to formulate the models pertinent to TRIZ-based tools, neither offer they how to manage all kinds of causes.

Generic categories pertinent to TRIZ-based tools are required to identify all kinds of causes more exactly and to mobilize most of all TRIZ-based tools to reformulate causes into models.

2. Requirement to deal with contradictory events

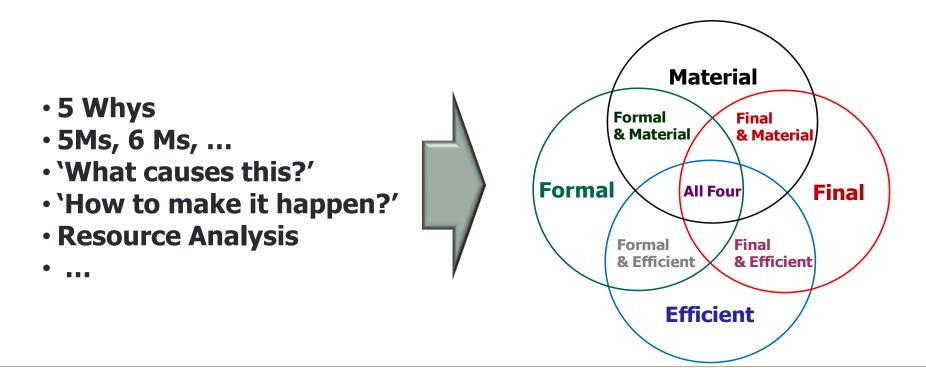
Cause Effect Analysis must provide formulation of contradictions as 'core problems'. 'Root Conflict Analysis' of V.Souchkov deals with contradiction formulation but it doesn't cover a full range of positive effects

Desired events can be deployed to plural ones along 'Purpose Scale' for more effective formulation of contradictions

1. Requirement for a generic categorical guide

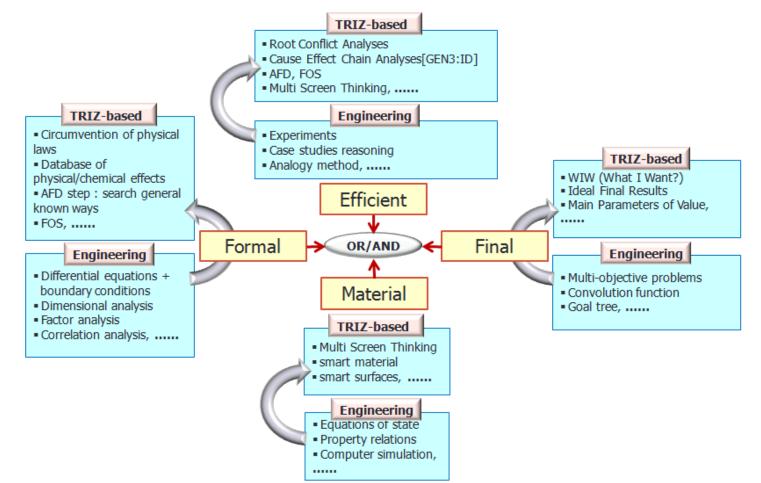
Generic categories based on 'Scientific and Engineering Principles' are required

'Modernized Aristotle's Causation View'



1. Requirement for a generic categorical guide

`Modernized Aristotle's Causation View' integrates all of other tools



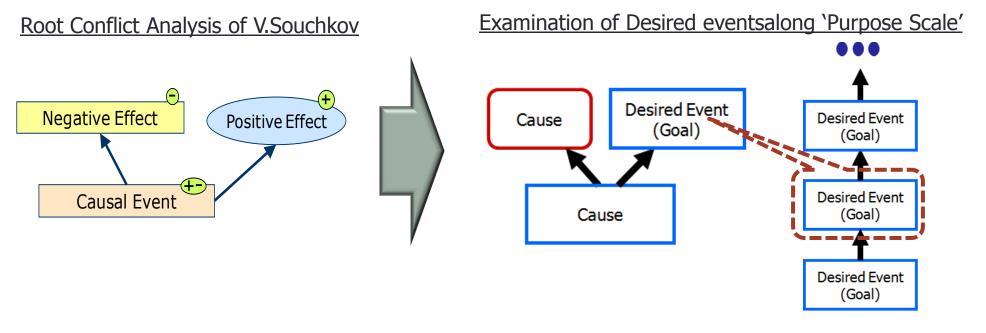
GTC2014 / www.koreatrizcon.kr

- 1. Requirement for a generic categorical guide
 - Generic categories based on 'Scientific and Engineering Principles' are suggested

Cause Category	Checking Question	
Final	Is/was the event done for any purpose or positive effect? If any, what is it? (if not, it is an unwanted event)	
Efficient	What interactions cause the event ?	
Material	What parametric prerequisites are needed for the event?	
Formal	By what physical laws/principles is the event governed ?	

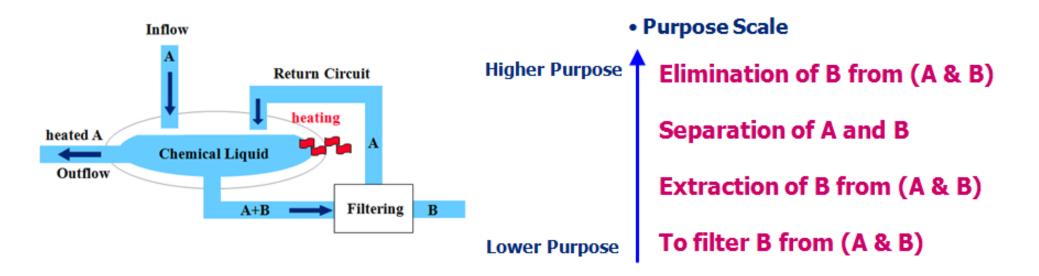
Checking questions based on 'Modernized Aristotle's Causation View'

- 2. Complete dealing with contradictory events
 - Cause Effect Analysis must provide formulation of contradictions as 'core problems'
 - Desired events must be considered and **identified as NOT a single event BUT plural along 'Purpose Scale'**

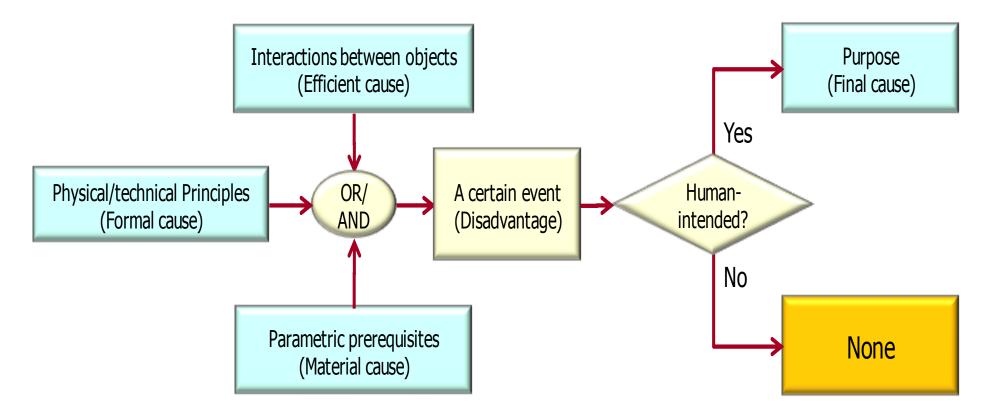


- 2. Complete dealing with contradictory events
 - Desired events must be considered and **identified as NOT a single event BUT plural along 'Purpose Scale'**

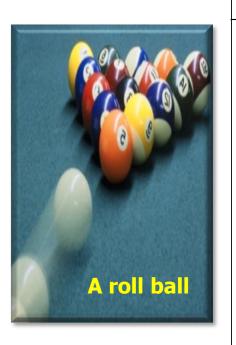
An example of deployment of desired events along 'Purpose Scale'



<u>`Modernized Aristotle's Causation View' Procedure</u></u>

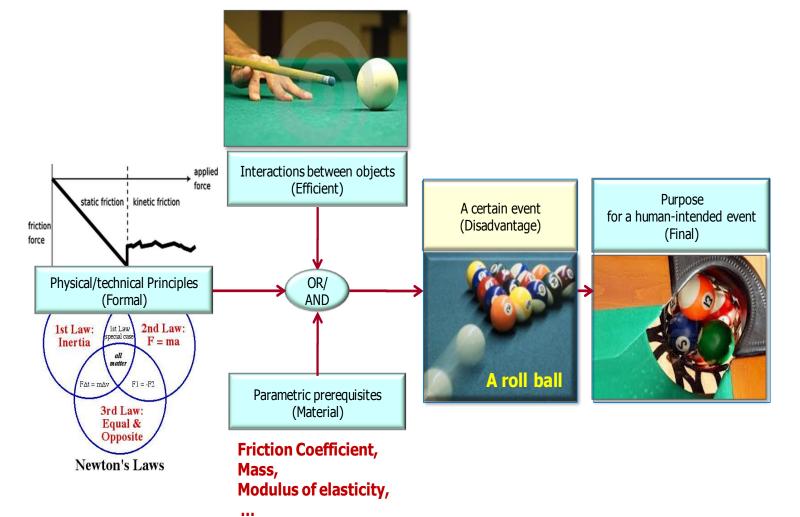


Example-1 of checking questions based on 'Modernized Aristotle's Causation View'



Event	Cause Category	Checking Questions	
A rolling billiard ball on the billiard table	Final	Is/was the event done for any purpose or positive effect? Ans : to impact other balls for gathering them in one pocket	
	Efficient	What interactions cause the event? Ans : the billiard stick impacts the billiard ball the billiard table retards the ball by friction air around the ball retards the ball	
	Material	What parametric prerequisites are needed for the event? Ans : value of mass, elasticity of the billiard ball, friction coefficient,	
	Formal	By what physical laws/principles is the event governed ? Ans : Newton's motion laws, friction law	

Example-1 of checking questions based on 'Modernized Aristotle's Causation View'

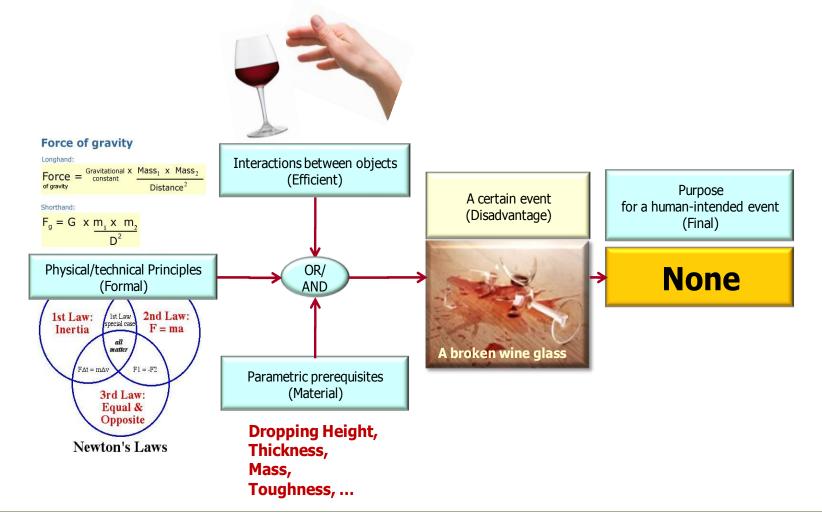


Example-2 of checking questions based on 'Modernized Aristotle's Causation View'



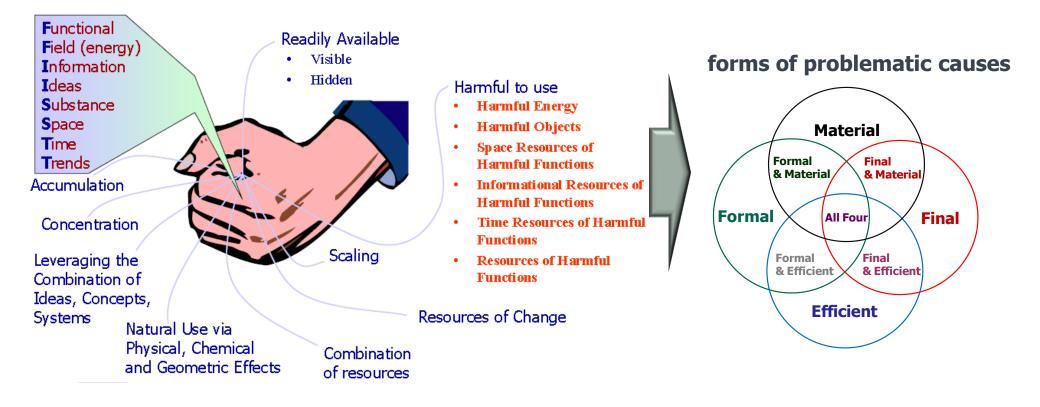
Event	Cause Category	Checking Questions		
A broken wine glass and spilt wine on the floor	Final	Is/was the event done for any purpose or positive effect? Ans : no		
	Efficient	What interactions cause the event? Ans : the earth pulls the glass and wine the hand missed grasping force on the glass the glass crushed into the floor		
	Material	What parametric prerequisites are needed for the event? Ans : value of dropping height, mass, shape, toughness of the wine glass, hardness of the floor,		
	Formal	By what physical laws/principles are the event governed ? Ans : Newton's motion laws, Principle of gravity		

Example-2 of checking questions based on 'Modernized Aristotle's Causation View'



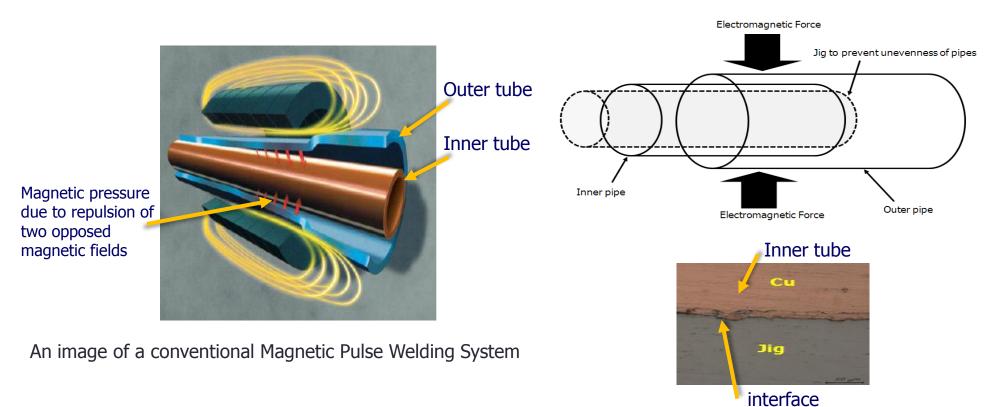
Discussion on Results of 'Modernized Aristotle's Causation View'

 Compared with 'Subversion Analysis' [AFD], generic categories are suggested as 'forms of problematic causes'



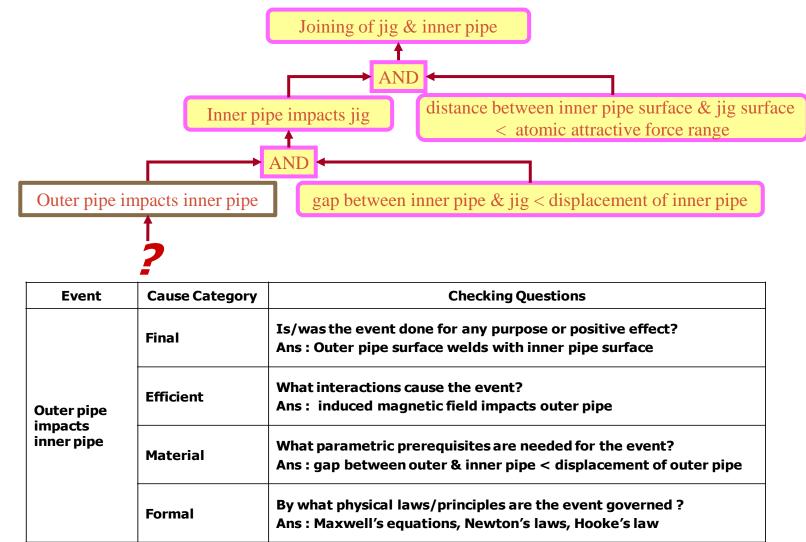
A Practical Case

This case is a real project done in 2009 and the result was patented in Korea. It is about how to get a pipe comprised of an Al tube and a Cu tube which are welded by Magnetic Pulse Welding. A jig was introduced to prevent the inner pipe from deformation due to the impact of the outer pipe during the welding. The jig seemed to block the inner pipe expectedly but it was not extracted because the inner pipe (made of Copper, Cu) combined with the jig after welding. Therefore, even though the welded parts of the workpiece pipes were in a good shape, we could not use the welded pipes because the jig blocked the inside of the inner pipe.



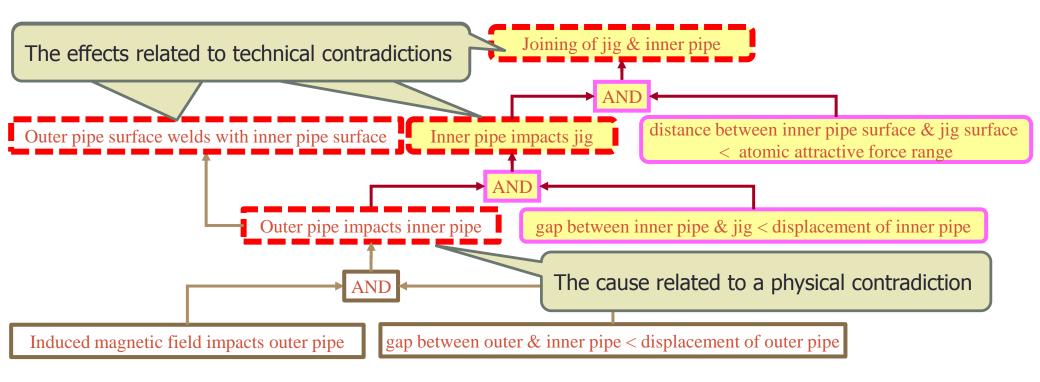
A Practical Case

Next, the plausible causes of 'outer pipe impacts ', were examined.



A Practical Case

At this step, we can find the contradiction as one of 'core problems'. If we overcome this contradiction, the target disadvantage will be prevented. The solution idea to this point was patented (KR1012642620000).



Summary

Differences of 'Modernized Aristotle's Causation View' from Other Approaches

Comparison Point	Already-known Approaches	Modernized Aristotle's Causation View
Cause Classification as a generic guide to formulate problem models	No any classification after resource analysis (Subversion Analysis, AFD, GEN3ID)	Four groups of cause models through generic categories
Positive Effect Examination for contradiction formulation	Checking `A' Positive Effect for An Event (RCA of V.Souchkov)	Changing Purpose Scale to Provide Easier and Systematic Contradiction Formulation → Changing the level of Purpose of `Final Cause'

References

[1] R.Keith Mobley, Root Cause Failure Analysis, Newnes, 1999

- [2] Muralisrinivasan Natamai Subramanian, The Basics of Troubleshooting in Plastics Processing, The Instrumentation, John Wiley & Sons, Inc., 2011
- [3] Ricky Smith, R.Keith Mobley, Industrial Machinery Repair, Elsevier Science, 2003
- [4] Wiliam L. Mostia, Jr., Trouble Shooting, The Instrumentation, Systems and Automation Society, 2006.
- [5] A.A. Hattangadi, Plant and Machinery Failure Prevention, McGraw-Hill, 2005
- [6] Neville W. Sachs, Practical Plant Failure Analysis, CRC Press, 2007
- [7] Anthony Mark Doggett, Root Cause Analysis, American Society for Quality, 2005
- [8] Bjørn Andersen, Tom Fagerhaug, Root Cause Analysis, American Society for Quality, 2006
- [9] Anthony Mark Doggett, A Statistical Comparison of Three Root Cause Analysis Tools, Vol.20, Journal of Industrial Technology, The National Association of Industrial Technology, 2004
- [10] G. Medina Oliva, B. Iung, L. Barbera, P. Viveros, T. Ruin, Root Cause Analysis to Identify Physical Causes, ESREL, 2012.
- [11] http://www.marshallinstitute.com/inc/eng/MT/Areas/seminars/body/brochures/MI/rca_general.pdf visited 07.06.2013
- [12] Valeri Souchkov, Root Conflict Analysis (RCA+): Structured Problems and Contradictions Mapping, White paper, 2004
- [13] Boris Zlotin, etc., Anticipatory Failure Determination,] Ideation International Inc., USA, 2004
- [14] Sergei Ikovenko, material for MATRIZ level 1 certification course, Korea, 2008
- [15] Hongyul Yoon, *How to find and formulate contradictions out of the initial problem situation*, TRIZ future2002, France, 2002
- [16] Korea Patent Office, KR1012642620000